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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-0453

First Named Inventor : Tomoyuki OKADA et al.

Title: INFORMATION RECORDING MEDIUM, APPARATUS AND METHOD OF RECORDING OR REPRODUCING INFORMATION TO OR FROM THE MEDIUM

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

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| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Small Entity Status is hereby asserted.</p> <p>3. <input checked="" type="checkbox"/> Specification (In Japanese Language) /Total Pages: 51<br/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Sequence Listing, a table, or a computer program listing appendix.</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) /35 USC 113/ /Total Sheets: 31/</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration /Total Pages : 3/</p> <ul style="list-style-type: none"> <li>a.1. <input type="checkbox"/> Newly executed (original or copy)</li> <li>a.2. <input checked="" type="checkbox"/> Unexecuted</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i></li> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> <p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program <i>(Appendix)</i></p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> |
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**ACCOMPANYING APPLICATION PARTS**

- 9.  Assignment Papers (cover sheet & document(s))
- 10.  37 CFR 3.73(b) Statement *(when there is an assignee)*
- Power of Attorney
- 11.  English Translation Document *(if applicable)*
- 12.  Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- 13.  Preliminary Amendment
- 14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
- 15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
- 16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17.  Other Cover Letter Accompanying Application Filed Without Executed Declaration Under 37 CFR 1.53(b) and in a Language Other Than English Under 37 CFR 1.52(d)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 09/443,498

Prior Application Information: Examiner Group Art Unit 2712

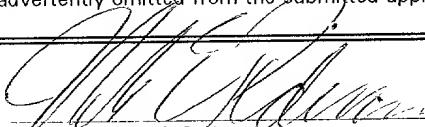
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

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April 18, 2001

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11033 U.S. PTO  
09/836292

04/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tomoyuki OKADA et al

Serial No. NEW : Attn: Application Branch

Filed April 18, 2001 : Attorney Docket No. 2001-0453

INFORMATION RECORDING MEDIUM,  
APPARATUS AND METHOD OF RECORDING  
OR REPRODUCING INFORMATION TO OR  
FROM THE MEDIUM  
(Rule 1.53(b) Continuation-In-Part  
of Serial No. 09/443,498,  
Filed November 19, 1999)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$710.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$710.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Tomoyuki OKADA et al.

By

Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

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TO CHARGE ANY DEFICIENCY IN THE  
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